

ATTORNEY DOCKET NO. - RFSC-0001

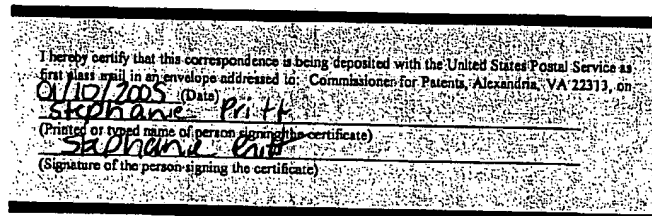
COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clinton S. Hartmann  
Serial No.: 10/024,624  
Filed: December 18, 2001  
Title: SURFACE ACOUSTIC WAVE IDENTIFICATION TAG  
HAVING ENHANCED DATA CONTENT AND  
METHODS OF MANUFACTURE THEREOF  
Grp./A.U.: 2876  
Examiner: Kim, Ahshik

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

**REQUEST FOR REFUND**

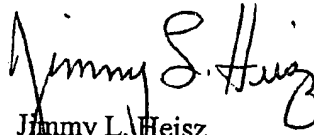
Attached hereto as Exhibit "A" is a true and correct copy of a "Statement Claiming Small Entity Status - Small Business Concern" for Applicant RF SAW Components, Incorporated, which was originally filed with the U.S. Patent and Trademark Office on May 16, 2002. The filing of such Statement entitles Applicant to pay reduced fees. Accordingly, Applicant hereby requests, pursuant to 37 C.F.R. § 1.26, a refund of \$264.00 of the \$528.00 fee for filing six excess independent claims that was charged to Deposit Account 08-2395 on November 8, 2004, as reflected on the attached Deposit Account Statement issued by the U.S. Patent and Trademark Office.

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We therefore request that the refund of the \$264.00 which was withdrawn from the deposit account 08-2395 be refunded and a check be made payable to Hitt Gaines, P.C. and forwarded to the undersigned attorney for Applicant.

Respectfully submitted,

HITT GAINES, P.C.

  
Jimmy L. Heisz  
Registration No. 38,914

Dated: January 10, 2009

P.O. Box 832570  
Richardson, Texas 75083  
(972) 480-8800

# EXHIBIT A

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)  
RFSC-0001

Applicant, Patentee, or Identifier: Clinton Hartmann  
Application or Patent No.: 10/024,624  
Filed or Issued: 12/18/2001  
Title: SURFACE ACOUSTIC WAVE IDENTIFICATION TAG HAVING ENHANCED DATA CONTENT AND METHODS OF OPERATION AND MANUFACTURE THEREOF

I hereby state that I am  
☒ the owner of the small business concern identified below.  
☐ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN RF SAW Components, Incorporated

ADDRESS OF SMALL BUSINESS CONCERN 7424 Greenville Avenue, Suite 108, Dallas, Texas 75231-4507

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- ☒ Each person, concern, or organization having any rights in the invention is listed below:  
no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Clinton Hartmann

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 7424 Greenville Avenue, Suite 108, Dallas, Texas 75231-4507

SIGNATURE Clinton Hartmann DATE May 16, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**United States  
Patent and  
Trademark Office**

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**Deposit Account Statement**

**Requested Statement Month:**

November 2004

**Deposit Account Number:**

082395

**Name:**

HITT GAINES, PC.

**Attention:**

**Address:**

PO BOX 832570

**City:**

RICHARDSON

**State:**

TX

**Zip:**

75083

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/02	24	PCT/US04/33353	RFSC-0018PCT	1703	\$136.00	\$8.00
11/05	8	E-REPLENISHMENT		9203	-\$5,000.00	\$5,008.00
★ 11/08	3	10024624	RFSC-0001	1201	\$528.00	\$4,480.00 ★
11/09	32	10982137	Y.CHEN 36- 5-8	1001	\$790.00	\$3,690.00
11/09	33	10982137	Y.CHEN 36- 5-8	1202	\$324.00	\$3,366.00
11/09	34	10982137	Y.CHEN 36- 5-8	1201	\$88.00	\$3,278.00
11/10	1	09813424	BLAIR 1-3-32	1201	\$80.00	\$3,198.00
11/10	2	09813424	BLAIR 1-3-32	1202	\$162.00	\$3,036.00
11/10	3	09813424	BLAIR 1-3-32	1202	\$18.00	\$3,018.00
11/15	56	E-REPLENISHMENT		9203	-\$2,500.00	\$5,518.00
11/16	109	10986984	CHAUDHRY 30-192	1001	\$790.00	\$4,728.00
11/19	42	6706383		1811	\$100.00	\$4,628.00
11/22	5	10442774	AIZENBERG 4-1	1251	\$110.00	\$4,518.00
11/23	17	6263383		9204	-\$5.00	\$4,523.00
11/24	44	10993627	BENNETT9-15-5-7-29-12	1001	\$790.00	\$3,733.00
11/24	45	10993627	BENNETT9-15-5-7-29-12	1201	\$88.00	\$3,645.00
11/24	46	10993627	BENNETT9-15-5-7-29-12	1202	\$198.00	\$3,447.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$144.00	\$4,202.00	\$7,505.00	\$3,447.00	

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ATTORNEY DOCKET NO. - RFSC-0001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 2005 JUN 21 AM 9:30

Applicant: Clinton S. Hartmann  
Serial No.: 10/024,624  
Filed: December 18, 2001  
Title: SURFACE ACOUSTIC WAVE IDENTIFICATION TAG  
HAVING ENHANCED DATA CONTENT AND  
METHODS OF MANUFACTURE THEREOF  
Grp./A.U.: 2876  
Examiner: Kim, Ahshik

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313, on 6-18-2005 (Date)

(Printed or typed name of person signing the certificate)

(Signature of the person signing the certificate)

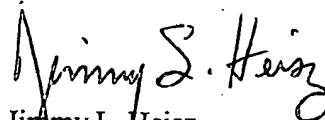
Sir:

**STATUS INQUIRY - REQUEST FOR REFUND**

Attached hereto is a copy of the "Request for Refund" that was filed with the U.S. Patent and Trademark Office on January 10, 2005 for the above-identified patent application. As of this date, we have not received a refund for this matter. As reflected in the attached copies of the previously filed request, we are entitled to a refund.

Respectfully submitted,

HITT GAINES, P.C.

  
Jimmy L. Heisz  
Registration No. 38,914

Dated: June 13, 2005

P.O. Box 832570  
Richardson, Texas 75083  
(972) 480-8800

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ATTORNEY DOCKET NO. - RFSC-0001

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clinton S. Hartmann

Serial No.: 10/024,624

Filed: December 18, 2001

Title: SURFACE ACOUSTIC WAVE IDENTIFICATION TAG  
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METHODS OF MANUFACTURE THEREOF

Grp./A.U.: 2876

Examiner: Kim, Ahshik

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313, on

10/19/2004 (Date)

Stephanie Pitt (Printed or typed name of person signing the certificate)

Stephanie Pitt (Signature of the person signing the certificate)

Sir:

AMENDMENT UNDER 37 C.F.R. § 1.111

In response to the Examiner's Action mailed April 19, 2004, please amend the above-identified application as follows:

Adjustment Date: 08/01/2005 SDIRETA1  
11/08/2004 DBROWN 00000002 082395 10024624  
01 FC:1201 528.00 CR

08/01/2005 SDIRETA1 00000077 082395 10024624  
01 FC:2201 264.00 DA

**IV. Conclusion**

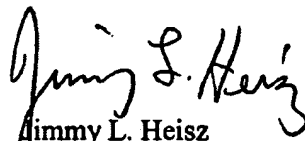
In view of the foregoing amendment and remarks, the Applicant now sees all of the Claims currently pending in this application to be in condition for allowance and therefore earnestly solicits a Notice of Allowance for Claims 1-36.

A check for the Petition for Extension of Time is included in this response in the sum of \$490.00. The Commissioner is hereby authorized to charge any additional fees connected with this communication or credit any overpayment to Deposit Account No. 08-2395.

The Applicant requests the Examiner to telephone the undersigned attorney of record at (972) 480-8800 if such would further or expedite the prosecution of the present application.

Respectfully submitted,

HITT GAINES, P.C.

  
Jimmy L. Heisz  
Registration No. 38,914

Dated: Oct 19, 2004

P.O. Box 832570  
Richardson, Texas 75083  
(972) 480-8800